

*Dicks
Search*

? show files;ds
 File 350:Derwent WPIX 1963-2005/UD,UM &UP=200567
 (c) 2005 Thomson Derwent
 File 344:Chinese Patents Abs Aug 1985-2005/May
 (c) 2005 European Patent Office
 File 347:JAPIO Nov 1976-2005/Jun(Updated 051004)
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 File 371:French Patents 1961-2002/BOPI 200209
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 File 35:Dissertation Abs Online 1861-2005/Sep
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 File 65:Inside Conferences 1993-2005/Oct W4
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 File 256:TecInfoSource 82-2005/Jan
 (c) 2005 Info.Sources Inc
 File 474:New York Times Abs 1969-2005/Oct 22
 (c) 2005 The New York Times
 File 475:Wall Street Journal Abs 1973-2005/Oct 21
 (c) 2005 The New York Times
 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
 (c) 2002 The Gale Group

Set	Items	Description
S1	310378	FINANCE OR FINANCING OR LOAN OR LOANS
S2	1018500	CONTRACT OR CONTRACTS OR CONTRACTUAL OR AGREEMENTS
S3	6206	(ENTERTAINMENT OR MUSIC OR RECORD OR FILM OR MOVIE OR RECORD OR TV OR TELEVISION OR CABLE OR MEDIA)(1w)(COMPANIES OR BUSINESSES OR ENTITIES OR ORGANIZATIONS OR CORPORATIONS)
S4	1078219	FINANCIAL OR BANK OR FINANCING OR INVESTOR? ? OR INVESTMENT OR FINANCIER? ?
S5	1719216	(ENTERTAINMENT OR MUSICAL)()PRODUCTIONS OR MOVIES OR FILMS OR RECORDINGS OR ALBUMS OR RECORDING OR MOTION()PICTURES OR (-TV OR TELEVISION OR CABLE)()PROGRAM? ? OR PROGRAMMING)
S6	940796	SECURITIZ? OR SECURITIS? OR SECURITIES OR BOND OR BONDS OR GUARANTEE? OR GUARANTY? OR UNDERWRIT?
S7	0	S1 AND S2 AND S3 AND S4 AND S5 AND S6
S8	1288	(S1:S2 OR S4) AND (S3 OR S5) AND S6
S9	7	S1 AND S2 AND S4 AND (S3 OR S5) AND S6
S10	7	RD (unique items)
S11	1288	(S3 OR S5) AND (S1 OR S2 OR S4) AND S6
S12	8	(S3 OR S5) AND (S1 OR S4) AND S2(6N)S6
S13	6	S12 NOT S10
S14	6	RD (unique items)
S15	127	S3 AND (S4 OR LENDER? ? OR EXCHANGE OR STOCK()MARKET? ?) AND S5
S16	5	S15 AND S6
S17	5	S16 NOT (S10 OR S14)
?		

Ginger R. DeMille

? t10/4/all

10/4/1 (Item 1 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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1038156 ORDER NO: AAD88-22975
ALTERNATIVE METHODS IN THE PRODUCTION PROCESS OF INDEPENDENT NARRATIVE FILMS : A DESCRIPTIVE ANALYTIC APPROACH

This study describes and analyzes different aspects of the production process for the independent feature film in the United States. Financing is the main problem facing a producer of an independent film. A producer with an outstanding package of literary property, star, director, and a good reputation may easily find a major distributor who agrees to finance the project. The distributor will finance the approved budget for the project. The financing can be through the distributor's own money or upon his guarantee to a bank that the money will be returned with interest. Other sources for financing an independent film involve: independent production companies with studio agreements, the specialists, foreign financing, limited partnership, network television, pay television and cable television.

There are three distribution routes available to the independent producer: the majors, the independent distributors, and four-walling. The majors grant the independent producer prestige and unlimited resources. The majors handle virtually all the big budget films and have the greatest power which enables them to obtain the best theatres at the best times of the year. The independent distributors are very diverse, ranging from one-person operations to large companies that are similar in structure to the majors (mini majors). "Four-walling" is the term for renting of theatres for a flat weekly fee. The rental fee includes all house expenses. The producer will also pay for all advertising and keep all box office gross.

The ancillary markets are very important to the independent producer and distributor, as they often provide a significant percentage for a film's total revenue. To an independent, the revenues from the ancillary markets can mean profit and the ability to produce or distribute additional films .

10/4/2 (Item 1 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

01176626 NYT Sequence Number: 062307821201
(Columbia Pictures Industries, CBS Inc and Home Box Office announce plans to create motion picture company equally owned and financed by them, but run by independent management team. Venture is expected to provide CBS with entry into motion picture production and give HBO additional guaranteed source of feature films . Columbia agrees to participate after signing separate pact with HBO, under which the cable company will license Columbia films made between Nov '81 and June '86, and assist in financing film production (S.).)

KLEINFELD, N R
New York Times, Col. 1, Pg. 1, Sec. 4

Wednesday December 1 1982

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: COLUMBIA PICTURES INDUSTRIES INC; HOME BOX OFFICE INC; CBS INC

DESCRIPTORS: JOINT VENTURES AND CONSORTIUMS; MOTION PICTURES ; FRANCHISES AND LICENSING AGREEMENTS

PERSONAL NAMES: KLEINFELD, N R

10/4/3 (Item 2 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

00765180 NYT Sequence Number: 035958770815
(Ellsworth Bunker and Sol M Linowitz warn rejection of new Panama Canal

Ginger R. DeMille

treaty by US would produce unrest in Panama and serve notice on other Latin Amer countries that US was still imperialist, interview on TV program 'Meet the Press'. Stress that agreement would usher in new relationship with Panama and Latin America. Bunker emphasizes that \$300 million in financial aid for Panama would consist of loans and guarantees , not aid appropriated by Cong. Photo of Bunker and Linowitz (M.)

HOVEY, GRAHAM
New York Times, Col. 1, Pg. 3
Monday August 15 1977

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

SPECIAL FEATURES: Photo

DESCRIPTORS: CREDIT; FOREIGN AID; INTERNATIONAL RELATIONS; PROGRAMS;
TREATIES; MEET THE PRESS (TV PROGRAM)\$60:BUNKER, ELLSWORTH (AMB);
LINOWITZ, SOL M

PERSONAL NAMES: HOVEY, GRAHAM

GEOGRAPHIC NAMES: LATIN AMERICA; PANAMA; PANAMA CANAL AND CANAL ZONE;
UNITED STATES

10/4/4 (Item 1 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

07250947 NYT Sequence Number: 000000960110

YOU'VE READ ALL THE EDITORIALS; NOW SEE 'WHITEWATER: THE MOVIE'

Pollock, Ellen Joan
Wall Street Journal, Col. 1, Pg. 1, Sec. B
Thursday January 11 1996

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Steve Hoffenberg, former chief executive officer of Towers Financial Corp, has agreed to sell book, movie, licensing and lecture rights to James McDougal's story in exchange for 50% of the proceeds; McDougal is facing fraud and conspiracy charges stemming from collapse of Madison Guaranty Savings & Loan , which he once owned; Hoffenberg and Rachel Hirschfeld, daughter of New York real-estate developer Abe Hirschfeld, have started Whitewater Defense Fund to help with McDougal's defense (L)

COMPANY NAMES: Towers Financial Corp; Madison Guaranty Savings & Loan ; Whitewater Development Co

DESCRIPTORS: Biographical Information; Books and Literature; Motion Pictures ; Licensing Agreements ; Frauds and Swindling; Bankruptcies

PERSONAL NAMES: Pollock, Ellen Joan; Hoffenberg, Steven; McDougal, James; Hirschfeld, Rachel; Hirschfeld, Abe

GEOGRAPHIC NAMES: Arkansas

10/4/5 (Item 1 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)
(c) 2002 The Gale Group. All rts. reserv.

09634820

Gaga Communications Wins Y10bn Credit Line From 8 Banks

Japan: Eight banks give Y 10 bn loans to Gaga

Nikkei Net Interactive (ATM) 09 Nov 2001 NihonKeizai Shimbun Online

Language: ENGLISH

In Japan, a group of eight banks, led by Bank of Tokyo-Mitsubishi has granted Y 10 bn worth of credit line to Gaga Communications Inc (Gaga) <a major film distributor>. The members of the group are Hiroshima Bank , Juroku Bank Ltd, Mitsubishi Trust & Banking Corp, Industrial Bank of Japan, Shinsei Bank , Sanwa Bank and Dai-Ichi Kangyo Bank . The banks have inked an annually renewable loan agreement with Gaga, enabling the firm to cut down its outstanding debt and flexibly use funds for purposes like acquisitions of film rights. Meanwhile, Gaga is planning to enhance its service of Internet-based broadband transmission of short films as well as to raise the number of films for distribution.

10/4/6 (Item 2 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
(c) 2002 The Gale Group. All rts. reserv.

09540502

Movie Television gets Y 2.5 bn syndicated loan
JAPAN: Y 2.5 BN SYNDICATED LOAN FOR MOVIE TELEVISION
Nikkei Net Interactive (ATM) 08 Jun 2001 NikkeiBusiness Daily Online
Language: ENGLISH

A consortium of eight Japanese financial institutions, headed by Dai-Ichi Kangyo Bank, will offer a three-year syndicated loan worth Y 2.5 bn to Japan's Movie Television Inc, an importer and distributor of films. Other banks that take part in the syndicated loan include Sanwa Bank, Asahi Bank and Tokai Bank. The syndicated loan is billed as the first in Japan to be extended to a film distribution rights company.

10/4/7 (Item 3 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06055487

Deutsche Banken geben Grosskredit an russische Gazprom
RUSSIA: GERMAN MONEY AND KNOW-HOW FOR GAZPROM
Frankfurter Allgemeine Zeitung (FA) 30 Sep 1994 p.23
Language: GERMAN

A German consortium of banks, including Dresdner Bank and Bayerische Hypotheken- und Wechsel- Bank, have granted a credit worth DM 936mn for erection of a gas/chemicals processing plant to Russian RAO Gazprom. The interest rate is in line with the Fibor rate plus a surcharge and is adjusted every half-year. The credit is 95% backed by a Hermes guarantee. Based on the credit and own funds worth DM 1.5bn, Gazprom is to erect the gas/chemicals building in Novy Urengoi between 1995 and 1998. Participants in the Siberian project are German BASF, Salzgitter and Linde. The building block for processing of Russian natural gas is to include a stream cracking plant with gas separation facility and a polyethylene plant. The gas separation plant is to supply ethane, 1.5mn cu.m of natural gas (for Western Europe) and 300,000 tons of natural gas condensates annually. The stream cracking plant produces ethylene from ethane. Based on a BASF method, 300,000 tpy of LDPE are to be produced from polyethylene, to be used in production of injection moulded parts as well as films for nonfood and food articles for Russia. Gazprom is Russia's biggest foreign exchange supplier and is to repay the credit after construction within 4 and a half years.
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? show files;ds
File 350:Derwent WPIX 1963-2005/UD,UM &UP=200567
 (c) 2005 Thomson Derwent
File 344:Chinese Patents Abs Aug 1985-2005/May
 (c) 2005 European Patent Office
File 347:JAPIO Nov 1976-2005/Jun(Updated 051004)
 (c) 2005 JPO & JAPIO
File 371:French Patents 1961-2002/BOPI 200209
 (c) 2002 INPI. All rts. reserv.
File 2:INSPEC 1969-2005/oct w3
 (c) 2005 Institution of Electrical Engineers
File 35:Dissertation Abs Online 1861-2005/Sep
 (c) 2005 ProQuest Info&Learning
File 65:Inside Conferences 1993-2005/Oct w4
 (c) 2005 BLDS all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2005/Sep
 (c) 2005 The HW Wilson Co.
File 256:TecInfoSource 82-2005/Jan
 (c) 2005 Info.Sources Inc
File 474:New York Times Abs 1969-2005/Oct 22
 (c) 2005 The New York Times
File 475:Wall Street Journal Abs 1973-2005/Oct 21
 (c) 2005 The New York Times
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
 (c) 2002 The Gale Group

Set	Items	Description
S1	310378	FINANCE OR FINANCING OR LOAN OR LOANS
S2	1018500	CONTRACT OR CONTRACTS OR CONTRACTUAL OR AGREEMENT OR AGREEMENTS
S3	6206	(ENTERTAINMENT OR MUSIC OR RECORD OR FILM OR MOVIE OR RECORD OR TV OR TELEVISION OR CABLE OR MEDIA)(1w)(COMPANIES OR BUSINESSES OR ENTITIES OR ORGANIZATIONS OR CORPORATIONS)
S4	1078219	FINANCIAL OR BANK OR FINANCING OR INVESTOR? ? OR INVESTMENT OR FINANCIER? ?
S5	1719216	(ENTERTAINMENT OR MUSICAL)()PRODUCTIONS OR MOVIES OR FILMS OR RECORDINGS OR ALBUMS OR RECORDING OR MOTION()PICTURES OR (- TV OR TELEVISION OR CABLE)()PROGRAM? ? OR PROGRAMMING)
S6	940796	SECURITIZ? OR SECURITIS? OR SECURITIES OR BOND OR BONDS OR GUARANTEE? OR GUARANTY? OR UNDERWRIT?
S7	0	S1 AND S2 AND S3 AND S4 AND S5 AND S6
S8	1288	(S1:S2 OR S4) AND (S3 OR S5) AND S6
S9	7	S1 AND S2 AND S4 AND (S3 OR S5) AND S6
S10	7	RD (unique items)
S11	1288	(S3 OR S5) AND (S1 OR S2 OR S4) AND S6
S12	8	(S3 OR S5) AND (S1 OR S4) AND S2(6N)S6
S13	6	S12 NOT S10
S14	6	RD (unique items)
?		

Ginger R. DeMille

? t17/4/all

17/4/1 (Item 1 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

05326892 NYT Sequence Number: 149852881027
MARKET PLACE: MOVIE COMPANIES HOT ON WALL ST.
FABRIKANT, GERALDINE
New York Times, Col. 3, Pg. 8, Sec. 4
Thursday October 27 1988
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Market Place column on movie companies , which have been among strongest gainers of stock market this year (M)

DESCRIPTORS: MOTION PICTURES ; STOCKS AND BONDS ; STOCK PRICES AND TRADING VOLUME; MARKET PLACE (TIMES COLUMN)
PERSONAL NAMES: FABRIKANT, GERALDINE

17/4/2 (Item 1 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
(c) 2002 The Gale Group. All rts. reserv.

09476054
Five more record companies invest in Sony affiliate
JAPAN: FRESH CAPITAL FOR LABEL GATE BY 5 FIRMS
Nikkei Net Interactive (ATM) 02 Mar 2001 NikkeiIndustrial Daily online
Language: ENGLISH

Label Gate Co, a Japanese music distribution company which is also an affiliate of Sony Corp, has been injected with fresh capital by another five investors including Nippon Columbia Co, Victor Entertainment Inc, Senha & Co, Extasy Japan Co and Teichiku Entertainment Inc, all of which are recording firms. With the participation of the five in Label Gate, the music distributor currently has 16 recording firms as shareholders with their combined stake accounted for 60%. Meanwhile, the balancing 40% stake are held by Sony Communication Network Corp. Capital investment made by the five new shareholders saw Label Gate's capital jumped to Y 167 mn on 28 February 2001 from Y 100 mn. The capital tie-up forged between the five companies and Label Gate entails distribution facilities of the latter to be used by the five investors for online music sales.

17/4/3 (Item 2 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06228731
EU fund to help film industry financing
EU: LOAN GUARANTEE FUND TO HELP FILM MAKERS
Financial Times (FT) 16 Nov 1995 p.3
Language: ENGLISH

Film makers in Europe should from now on find it easier to raise money for popular films thanks to a fund that has been established by the European Commission, which is to be administered by the European Investment Fund. Loans given by financial supporters to the film companies will be partly insured by the new fund, which has been set up with ECU 200mn funding.
(c) Financial Times 1995

17/4/4 (Item 3 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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04302031
A CACOPHONY OF NEW TECHNOLOGIES

Ginger R. DeMille

JAPAN - A CACOPHONY OF NEW TECHNOLOGIES
Financial Times (C) 1991 (FT) 31 May 1991 p13

Japan: this article looks in detail at the industry response to mini discs and digital cassettes, which are competing for audio enthusiasts' approval. Until this month (05.91) it looked as if Sony of Japan's effort to introduce Digital Audio Tape to complement the compact disc had failed. Record companies seemed to prefer a different recording system, Digital Compact Cassette, which Philips, the Dutch electronics giant, plans to introduce next year. Sony has now hit back with another music technology. Its recently-announced Mini Disc system plays a miniaturised version of the CD, with the added advantage that it can also record music. Philips says its new system has the backing of most of the world's big music companies, including PolyGram, EMI, Bertelsmann and Warner. Sony says it has solved the CD's vibration problems by including a memory chip in the Mini Disc player. Because the Mini Disc can record as well as play, it poses a threat to DCC. Analysts in Japan are divided over the Mini Disc's prospects. Mr Barry Dargan at James Capel says that while Sony continues to lead in the introduction of new products, some of them have had limited success, but Ms Naoko Matsumoto at S G Warburg Securities thinks the disc is going to be a huge market. There are no signs yet of record companies defecting from DCC, but the position of equipment makers could be decisive. (Abstract. Copyright The Financial Times Limited 1991)**

Copyright: Financial Times Ltd 1991

17/4/5 (Item 4 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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00520438

VIACOM RECEIVES BUYOUT OFFER WORTH \$2.7BN
US - VIACOM RECEIVES BUYOUT OFFER WORTH \$2.7BN
International Herald Tribune (IHT) 17 September 1986 p19

A leading US broadcasting and cable TV company, Viacom International, has received a buyout offer from a management-lead group, worth \$2.7bn. The bidders include Mr T A Elkes, its president and chief executive, and other members of senior management; the Equitable Life Assurance Society of the US and investment companies including Donaldson, Lufkin & Jenrette Securities Corp, Drexel Burnham Lambert Inc and First Boston Corp. Viacom owns five TV stations and eight radio stations, runs cable-TV systems serving about 840k subscribers and owns two major cable - programming companies, MTV Networks and Showtime-The Movie Channel.*
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? t14/4/all

14/4/1 (Item 1 from file: 350)

DIALOG(R)File 350:Derwent WPIX
(c) 2005 Thomson Derwent. All rts. reserv.

IM- *Image available*
AA- 2005-214311/200522|
XR- <XRPX> N05-177370|
TI- Stripped collateralized life settlement obligation securitizing method involves recording data of stripped death benefits and stripped premium payment of securities so as to compile tax and accounting information|
PA- JJS IP HOLDINGS LLC (JJSI-N)|
AU- <INVENTORS> LANGE J S; LEWIS J M; SHAPIRO S M|
NC- 108|
NP- 001|
PN- WO 200520000 A2 20050303 WO 2004US26165 A 20040813 200522 B|
AN- <LOCAL> WO 2004US26165 A 20040813|
AN- <PR> US 2003640124 A 20030813|
FD- WO 200520000 A2 G06F-000/00
 <DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
 <DS> (Regional): AT BE BG BW CH CY CZ DE DK EA EE ES FI FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NA NL OA PL PT RO SD SE SI SK SL SZ TR TZ UG ZM ZW|
LA- WO 200520000(E<PG> 33)|
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW|
DS- <REGIONAL> AT; BE; BG; BW; CH; CY; CZ; DE; DK; EA; EE; ES; FI; FR; GB; GH; GM; GR; HU; IE; IT; KE; LS; LU; MC; MW; MZ; NA; NL; OA; PL; PT; RO; SD; SE; SI; SK; SL; SZ; TR; TZ; UG; ZM; ZW|
AB- <PN> WO 200520000 A2|
AB- <NV> NOVELTY - The method involves providing a security composed of death benefit assets stripped from each underlying life insurance policy and another security composed of premium payment stripped from each underlying life insurance related obligation. The information relating to the accumulated data of the stripped death benefits and the stripped premium payment of the securities are recorded in computer so as to compile tax and accounting information.|
AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:
 (1) method for creating securities from life settlement contracts ; and
 (2) method for creating special purpose entity of high credit quality with initial amount of investment capital.
 USE - For securitizing stripped collateralized life settlement obligations (SCLSO) and stripped collateralized life insurance obligations (SCLIO).
 ADVANTAGE - Provides large and cost effective source of institutional capital for the creation of liquid secondary market for life insurance policies.
 DESCRIPTION OF DRAWING(S) - The figure shows a system for creating stripped collateralized life settlement obligations (SCLSO).
 pp; 33 DwgNo 1/1|
DE- <TITLE TERMS> STRIP; LIFE; SETTLE; METHOD; RECORD; DATA; STRIP; DEAD; BENEFICIAL; STRIP; PREMIUM; PAY; SECURE; SO; COMPILE; TAX; ACCOUNT; INFORMATION|
DC- T01|
IC- <MAIN> G06F-000/00|
MC- <EPI> T01-J05A2E|
FS- EPI||

14/4/2 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

IM- *Image available*
AA- 2004-710343/200469|
XR- <XRPX> N04-563287|
TI- Portfolio risk measure evaluation method for financial instruments such as bond, involves determining Eigen value decomposition of P transformation matrix defined in Gamma to obtain matrix of Eigen vectors|
PA- CHAKRABORTY A (CHAK-I); CHALERMKRAIVUTH K C (CHAL-I); BOLLAPRAGADA S (BOLL-I); KIAER C L (KIAE-I); CLARK M C (CLAR-I); MESSMER R P (MESS-I); GE FINANCIAL ASSURANCE HOLDINGS INC (GENE)|
AU- <INVENTORS> CHAKRABORTY A; CHALERMKRAIVUTH K C; BOLLAPRAGADA S; KIAER C L; CLARK M C; MESSMER R P|
NC- 108|
NP- 004|
PN- WO 200486183 A2 20041007 WO 2004US8448 A 20040319 200469 B|
PN- US 20040199448 A1 20041007 US 2003390710 A 20030319 200469
PN- US 20040186804 A1 20040923 US 2003390689 A 20030319 200469
PN- US 20040186814 A1 20040923 US 2003390709 A 20030319 200469|
AN- <LOCAL> WO 2004US8448 A 20040319; US 2003390710 A 20030319; US 2003390689 A 20030319; US 2003390709 A 20030319|
AN- <PR> US 2003390710 A 20030319; US 2003390689 A 20030319; US 2003390709 A 20030319|
FD- WO 200486183 A2 G06F-000/00
<DS> (National): AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
<DS> (Regional): AT BE BG BW CH CY CZ DE DK EA EE ES FI FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PL PT RO SD SE SI SK SL SZ TR TZ UG ZM ZW|
LA- WO 200486183(E<PG> 74)|
DS- <NATIONAL> AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW|
DS- <REGIONAL> AT; BE; BG; BW; CH; CY; CZ; DE; DK; EA; EE; ES; FI; FR; GB; GH; GM; GR; HU; IE; IT; KE; LS; LU; MC; MW; MZ; NL; OA; PL; PT; RO; SD; SE; SI; SK; SL; SZ; TR; TZ; UG; ZM; ZW|
AB- <PN> WO 200486183 A2|
AB- <NV> NOVELTY - The change in risk factors is restated in Delta-Gamma formulation. The Cholesky decomposition of covariance matrix of factors of Delta-Gamma formulation are acquired to generate P transformation matrix that is defined in Gamma. The Eigen value decomposition of defined matrix is determined to obtain a matrix of Eigen vectors. The risk measures are evaluated using Eigen vectors and P transformation matrix.|
AB- <BASIC> DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:
(1) system for evaluating portfolio risk measures;
(2) computer-readable recording medium storing program for evaluating portfolio risk measures;
(3) method for determining allocation of securities in portfolio;
(4) system for determining allocation of securities in portfolio;
(5) computer-readable recording medium storing program for determining allocation of securities in portfolio;
(6) method for determining efficient frontier;
(7) system for determining efficient frontier; and
(8) computer-readable recording medium storing program for determining efficient frontier.
USE - For evaluating portfolio risk measures of financial instruments such as investment security e.g. bonds or stocks and insurance products such as life insurance policy and guarantee investment contracts .
ADVANTAGE - Enables determining the optimal multifactor and multi-objective portfolio risk measures of the financial instruments reliably and easily.
DESCRIPTION OF DRAWING(S) - The figure shows a block diagram of the system for analytic-based multifactor multi-objective portfolio risk

optimization.

pp; 74 DwgNo 1/11|

DE- <TITLE TERMS> PORTFOLIO; RISK; MEASURE; EVALUATE; METHOD; FINANCIAL ;
INSTRUMENT; BOND; DETERMINE; EIGEN; VALUE; DECOMPOSE; P; TRANSFORM;
MATRIX; DEFINE; GAMMA; OBTAIN; MATRIX; EIGEN; VECTOR|
DC- T01|
IC- <MAIN> G06E-001/00; G06F-000/00; G06F-017/60|
IC- <ADDITIONAL> G06E-003/00; G06F-015/18; G06F-017/00; G06G-007/00;
G06N-007/00; G06N-007/08|
MC- <EPI> T01-J04B1; T01-J04C; T01-J05A2F; T01-S03|
FS- EPI||

14/4/3 (Item 1 from file: 347)

FN- DIALOG(R)File 347:JAPIO|
CZ- (c) 2005 JPO & JAPIO. All rts. reserv.|
TI- FINANCIAL COMMODITY ORDER SYSTEM, FINANCIAL COMMODITY ORDER METHOD,
AND INFORMATION- RECORDING MEDIUM RECORDED WITH PROGRAM FOR
FINANCIAL COMMODITY ORDER TO BE COMPUTER-READABLE
PN- 2004-005717 -JP 2004005717 A-
PD- January 08, 2004 (20040108)
AU- MATSUMURA KAZUYUKI
PA- DAIWA SECURITIES GROUP INC
AN- 2003-205820 -JP 2003205820-
AN- 2003-205820 -JP 2003205820-
AN- Division of 2000-386042 [JP 2000386042]
AD- August 04, 2003 (20030804)
G06F-017/60
AB- PROBLEM TO BE SOLVED: To prevent an investment opportunity for a
customer from being missed by omission of confirmation in
establishment of an agreement, and to enhance operability. SOLUTION:
This financial commodity ordering system has a setting part 504 for
correlating other order to the first order, a credit confirmation
part 508 for credit-confirming the other order, a storage part 503
for storing respective correlated orders, an order placing part 506
for ordering the first order to a securities exchange, and a
scheduled order ordering part 505 for ordering the other order
correlated to the first placed order to the securities exchange
when the agreement for the first ordered order is settled. The
setting part 504 correlates the other order to the first order, when
the credit is determined not to get short. COPYRIGHT: (C)2004, JPO

14/4/4 (Item 1 from file: 474)

DIALOG(R)File 474:New York Times Abs
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07673684 NYT Sequence Number: 202320990207

CORRECTIONS

New York Times, Col. 6, Pg. 4, Sec. 2
Sunday February 7 1999

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Correction of Jan 31 article on Seth MacFarlane, Family Guy creator,
which misstated financial terms of his contract; he is guaranteed
total of \$2 to \$2.5 million over three years

DESCRIPTORS: Television; Family Guy (TV Program); Correction Stories
PERSONAL NAMES: Macfarlane, Seth

14/4/5 (Item 2 from file: 474)

DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

01259506 NYT Sequence Number: 077942831003

(Survey of business-related cases on Supreme Court's fall agenda, including
cases involving home video taping, antitrust law, interest-free loans
among family members, obligation of companies in bankruptcy proceedings
to honor labor contracts, Government access to business records and

Ginger R. DeMille

Federal law requiring that municipal bonds be issued in registered form;
table (L))

New York Times, Col. 3, Pg. 1, Sec. 4

Monday October 3 1983

DOCUMENT TYPE: Newspaper; Survey JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

SPECIAL FEATURES: Table

COMPANY NAMES: SUPREME COURT (US)

DESCRIPTORS: SURVEYS AND SERIES; UNITED STATES ECONOMY; ANTITRUST ACTIONS
AND LAWS; COPYRIGHT; RECORDINGS AND RECORDING EQUIPMENT; VIDEOTAPE;
TELEVISION; BANKRUPTCY; LABOR; CONTRACTS ; GOVERNMENT BONDS ;
DISCLOSURE OF INFORMATION; CORPORATIONS

PERSONAL NAMES: GREENHOUSE, LINDA

14/4/6 (Item 1 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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06531634

HSBC Holdings Name Bond Chairman in a Broad Shake-Up

HONG KONG: APPOINTMENTS AT HSBC AND SUBSIDIARY

Wall Street Journal Europe (WSJ) 13 Oct 1997 p.13

Language: ENGLISH

John Bond has been appointed successor to Sir William Purves as chairman for the Hong Kong division of HSBC Holdings <UK>, the banking and financial services group. Mr Bond, at present chief executive, is expected to pursue the group's current global diversification programme. Following a poor performance and reduction in market share for Hang Seng Bank Ltd, HSBC's 61% owned subsidiary, the chief executive of Hongkong & Shanghai Banking Corp., David Eldon, has been named non-executive chairman and will succeed Sir Q.W. Lee, who retires at the end of 1997, in a move which increases HSBC's control over the local bank. Recording disappointing growth and a GBT 40mn loss from an underwriting agreement earlier in 1997, HSBC Investment Bank will appoint Stephen Green, treasurer to the HSBC group as chairman, following the retirement of Bernard Asher on 28 February, 1998.
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